



**BOARD OF DIRECTORS
REGULAR MEETING AGENDA**

June 19, 2019 – 1:30 PM

5401 Old Redwood Highway, 1st Floor
Petaluma, CA 94954

1. Call to Order
2. Approval of the June 5, 2019 Board Minutes
3. Public Comment on Non-Agenda Items
4. Board Member Announcements
5. General Manager's Report
6. Consent
 - a. Approval of the Monthly Financial Report
7. Authorize the General Manager to Award a Sole-Source Purchase Order to Knorr Brake Company, LLC for the Purchase of Specialized Braking Equipment used on the Diesel Multiple Units (DMUs) in the amount not-to-exceed \$80,000
8. Authorize the General Manager to Award a Sole-Source Purchase Order to Voith Turbo Inc., for the Purchase of a Car Coupler Assembly and Crash Energy Management equipment for SMART's Diesel Multiple Units (DMUs) in the amount not-to-exceed \$200,000
9. Closed Session
 - a. Conference with legal counsel regarding exposure to potential litigation pursuant to California Government Code Section 54956.9(d)(3): number of cases: 1

- b. Conference with Labor Negotiator Farhad Mansourian, General Manager pursuant to California Government Code Section 54957.6
Agency Designated Representative: General Manager
Represented employees: SMART Engineers Conductors Association (SECA), IAMAW Local Lodge No. 1414, and Teamsters Local 665; and Unrepresented Employees

 - c. Conference with General Manager, Farhad Mansourian, pursuant to California Government Code Section 54956.8 regarding real estate property negotiations
Property: Sonoma-Marín Area Rail Transit District
Property Address: Right-of-Way (MP14.9–89 and MP B25.8 – B49.8)
Negotiating Parties: Farhad Mansourian, SMART General Manager
Douglas Bosco, NWPCo. Counsel
10. Report Out of Closed Session
11. Next Regular Meeting Board of Directors, July 17, 2019 – 1:30 PM – 5401 Old Redwood Highway, 1st Floor, Petaluma, CA 94954
12. Adjournment

DISABLED ACCOMMODATION: If you have a disability that requires the agenda materials to be in an alternate format or that requires an interpreter or other person to assist you while attending this meeting, please contact SMART at least 72 hours prior to the meeting to ensure arrangements for accommodation. Please contact the Clerk of the Board at (707) 794-3072 or dial CRS 711 for more information.

DOCUMENTS: Documents distributed by SMART for its monthly Board meeting or committee meetings, and which are not otherwise privileged, may be inspected at SMART's office located at 5401 Old Redwood Highway, Suite 200, Petaluma, CA 94954 during regular business hours. Documents may also be viewed on SMART's website at: www.sonomamarintrain.org. Materials related to an item on this Agenda submitted to SMART after distribution of the agenda packet are available for public inspection at the SMART Office. For information about accessing SMART meetings by public transit, use the trip planner at www.511



**BOARD OF DIRECTORS
REGULAR MEETING MINUTES**

June 5, 2019 - 1:30 PM

5401 Old Redwood Highway, 1st Floor
Petaluma, CA 94954

1. Call to Order

Chair Phillips called the meeting to order at 1:30pm. Directors Fudge, Hillmer, Lucan, Naujokas, Pahre, Rabbitt, Rogers and Zane were present. Directors Arnold and Connolly arrived later.

2. Approval of the May 15, 2019 Board Minutes

MOTION: Director Naujokas moved approval of the May 15, 2019 Board Minutes as presented, Director Lucan second. The motion carried 8-0 (Director Rogers abstain; Directors Arnold and Connolly arrived later).

3. Public Comment

Felix Huerta Jr. (SMART Engineers Conductors Association (SECA) Representative) said that he made a comment the other day about an unfair labor practice and was told he was a liar and was very upset. He distributed a copy of the charge (State of California, Public Employment Relations Board Complaint document) and said that the charge clearly states that this company violated that law. He read paragraphs 1, 2, 3, 4, 5, and 6 of the document (on file with Clerk of the Board) and not everything is in the contract, that were agreed upon. He was telling the truth and does not like to be called a liar in public. One last thing, he would like to say is that the employees should be paid what they are owed, he displayed a paper flyer check of what was stolen from the employee because the rules were changed. Also, another unfair labor practice has just been charged with him and he will be conducting the facts and be presenting to SMART Human Resources on Friday, June 7th and SMART has 24 hours to fix the problem. SMART's District Counsel, Tom Lyons stated that he would like to respond to the public comment. As mentioned previously, this is not the forum in which the District usually responds, however he would like to report to the Board that Mr. Huerta read charges from SECA. The charges are not findings or determinations, when the Union (SECA) files a grievance or application, the Public Employment Relations Board (PERB) issues a pleading, which are allegations. As part of

the allegations and procedure PERB starts the mediation process. During the mediation process a settlement was reached. In the settlement, which SMART and the Union agreed that it was not a custom practice going forward and that it would not be a finding by the State of California. Chair Phillips thanked Mr. Lyons for the clarification.

Duane Bellinger said that he talked to various grandparents and they are very pleased to be able to take their grandkids on the train ride. He said that SMART needs to increase parking at the Downtown Petaluma Station.

Director Connolly arrived 1:35pm

4. Board Members Announcements

Chair Phillips stated that the weekend street closure of Second Street between Tamalpais Avenue and Hetherton Street on June 1st and 2nd was very well organized. He thanked the Novato Police Department for assisting with traffic control.

Director Connolly said that a constituent mentioned that the kids-ride-for-free program is not advertised at SMART stations or on the trains. He suggested conducting more outreach regarding the program.

5. General Manager's Report

General Manager Mansourian stated that SMART received a request from the County of Marin to provide a "special train" that will serve Marin County Fair during July 3-7th.

He said that SMART has carried 1,263,839 passengers, 116,823 bicycles, and 4,823 wheelchairs.

He distributed the Memorial Day Program Data, which shows that ridership increased by 9% and the daily fare revenue increase by 4%, also the Memorial Day (Monday only) ridership increased by 41%.

He said that the Rohnert Park power outages that occurred on Tuesday, June 4th, caused SMART delays. SMART has 63 at-grade crossings that require electricity to function. When a power outage is planned, SMART prepares with staff and generators of the affected crossings. However, the cities and county may not be able to operate their traffic signals on both sides of the SMART tracks with generators and staff, since it would take a large number of both and this can cause traffic back-ups in both directions. During this outage SMART was able to operate at a very low speed of 15 mph in the affected area, despite not having generators at the at-grade crossings. When and if PG&E informs SMART of any outages, staff coordinates with city/county representatives. He encouraged that the public subscribe to SMART Nixle alert system to be notified of any updates.

Lastly, he introduced Chief Engineer, Bill Gamlen who provided an overview of the weekend street closures due to the construction of the Larkspur Extension project. He

said that the contractor completed the construction of the track at 2nd Street between Tamalpais Avenue and Hetherton Street, which closed 8:00 pm on Friday and end by 4:00 am on Monday. The next street closure will be on June 8th and 9th, which Third Street will be closed between Tamalpais Avenue and Hetherton Street. Also, on June 8th and June 9th the San Rafael SMART station will be closed. A bus bridge will shuttle passengers between the Marin Civic Center SMART Station and the San Rafael Transit Center and between the Marin Civic Center SMART Station and the Larkspur Ferry Terminal. He thanked the City of San Rafael Public Works Department, San Rafael Police Department, City of Novato Police Department and California Highway Patrol for assisting with traffic control.

6. Approve the Resolutions to Adopt the Revised Fiscal Year 2018-19 Budget, the Fiscal Year 2019-20 Proposed Budget Including Annual Position Authorization and the Investment Policy for Fiscal Year 2019-20 and Ratifying the Annual Appropriation Limit for Fiscal Year 2019-20

Chief Financial Officer, Erin McGrath acknowledged Fiscal Manager, Katye Roa and SMART staff for working on the budget.

Ms. McGrath stated that the items before the Board today are the approvals of the Revised Fiscal Year 2018-19 Budget, the Fiscal Year 2019-20 Proposed Budget Including Annual Position Authorization, which have not changed from May 15th Board meeting, and the Investment Policy for Fiscal Year 2019-20 and Ratifying the Annual Appropriation Limit for Fiscal Year 2019-20. Highlights included:

Fiscal Year 2019-20 Appropriations Limit:

Proposition 4, approved by California voters in 1979, requires special districts to calculate and establish an appropriation limit every year. The Appropriation Limit for Fiscal Year 2019-20 is \$142,288,336. The law requires that our operating revenues and expenditures fall within this limit. Revenues and expenses associated with most capital improvements and debt service are exempt from this limit. SMART estimates conservatively that \$38,806,829 of our expenditures fall under the Proposition 4 limit, well below the cap.

Comments

Chair Phillips said that the budget assumes normal operation through the year. SMART anticipates going to the voters for sales tax extension renewal in March 2020 with two possibilities: 1) if the measure passes, SMART will have the ability to restructure the debt and 2) if it does not pass the budget will need to be re-evaluated.

Director Arnold arrived 1:45pm

MOTION: Director Naujokas moved Approve Resolution No. 2019-08 to approve the Revised Fiscal Year 2018-19 budget, the Fiscal Year 2019-20 Proposed Budget including annual position authorization and the Investment Policy for Fiscal Year 2019-20 as presented. Director Lucan second. The motion carried 11-0.

MOTION: Director Rogers moved Approve Resolution No. 2019-09 Ratifying the Annual Appropriation Limit for Fiscal Year 2019-20 as presented. Director Hillmer second. The motion carried 11-0.

7. Authorize the General Manager to Execute Four (4) Consultant Contract Amendments totaling \$345,000 as needed to Fiscal Year 2019-20

Chief Financial Officer, Erin McGrath stated that there are ongoing contracts that SMART is requesting authority to extend. The total amount of the contract amendments totaling \$345,000. The covered the following contracts: 1) Certified Employment Group; 2) Emtec Consulting Services, LLC; 3) Herzog Contracting Corporations and 4) Sonoma County Fleet Operations Division.

MOTION: Director Pahre moved to Authorize the General Manager to Execute Four (4) Consultant Contract Amendments totaling \$345,000 as needed to Fiscal Year 2019-20 as presented. Director Lucan second. The motion carried 11-0.

8. Authorize the General Manager to execute Amendment No. 18 to Professional Service Contract No. VS-PS-09-001 with LTK Engineering Services in an amount of \$200,000 for professional engineering support for train procurement, operational modeling and communications system installation support

Chief Engineer, Bill Gamlen stated that item before the board is to approve Contract Amendment No. 18 in an amount of \$200,000 with LTK Engineering Services. This Amendment provides support in the following areas: 1) continued support for the train procurement and the commissioning of the additional four trains; 2) technical support for the installation of communication systems for the Larkspur Extension Project; and 3) operational modeling and simulation of the railroad for run time evaluations.

MOTION: Director Pahre moved to Authorize the General Manager to execute Amendment No. 18 to Professional Service Contract No. VS-PS-09-001 with LTK Engineering Services in an amount of \$200,000 for professional engineering support for train procurement, operational modeling and communications system installation support as presented. Director Fudge second. The motion carried 11-0.

9. Authorize the General Manager to execute Contract Amendment No. 2 to Contract No. OT-PS-16-004 with Masabi LLC in an amount of \$172,000 to continue SMART's Mobile Ticketing Program and extend the term of the contract through June 2022

Chief Financial Officer, Erin McGrath stated that item before the board is to approve Contract Amendment with Masabi, LLC in an amount of \$172,000. This contract provides the Mobile App, which offers one-way and round-trip tickets for passengers. SMART was able to successfully negotiate a rate reduction as part of this amendment from \$4,900 per month to \$4,750 per month. This contract extension is designed to add enough time to allow SMART to be better informed about functionality within Clipper before making changes to our mobile application.

Comments

Director Naujokas asked if the agreement can be terminated prior to the term of the contract. Ms. McGrath responded that this contract has a termination clause of 30 days.

Director Connolly asked how much revenue is generated through the App. Ms. McGrath responded about 1/3 of the fare revenue is collected via the App.

Director Arnold asked when will the new Clipper program be completed. Ms. McGrath responded 2022-23.

MOTION: Director Arnold moved to Authorize the General Manager to execute Contract Amendment No. 2 to Contract No. OT-PS-16-004 with Masabi LLC in an amount of \$172,000 to continue SMART's Mobile Ticketing Program and extend the term of the contract through June 2022 as presented. Director Rogers second. The motion carried 11-0.

10. Authorize the General Manager to execute Amendment No. 8 with STPJ Consulting in the amount of \$367,470 for Information Technology (IT) Services, such continuous monitoring of all Cisco routers, firewalls, VPN concentrators, and switches, IT backup support for email, phone systems, network maintenance and troubleshooting

General Manager Mansourian stated that the item before the Board is to authorize Amendment No. 8 with STPJ Consulting. We recommend continuing to utilize Chien's expertise in the IT support role to ensure a secure network infrastructure and to maintain high level of competency in the IT department.

He introduced Bryan Crowley, who will replace IT Manager Dan Hurlbutt upon his retirement in November 2019.

MOTION: Director Hillmer moved to Authorize the General Manager to execute Amendment No. 8 with STPJ Consulting in the amount of \$367,470 for Information Technology (IT) Services, such continuous monitoring of all Cisco routers, firewalls, VPN concentrators, and switches, IT backup support for email, phone systems, network maintenance and troubleshooting as presented. Director Naujokas second. The motion carried 11-0.

11. Review and Approve the Proposed Responses to the Marin County Civil Grand Jury Report: SMART – First/Last Mile Options, dated April 25, 2019

General Manager Mansourian thanked the Marin County Civil Grand Jury for an excellent report. The report had a commendation that he read as follows: *In the first year, SMART and the other transit agencies have done a commendable job in developing first and last mile connections to SMART stations. This report attempts to provide a comprehensive guide to various connection options. To further encourage ridership on SMART, it also makes recommendations to enhance the effectiveness of the connections.*

The Marin County Civil Grand Jury specifically requested SMART's responses to recommendations R1, R3 and R5. We have prepared draft responses for your deliberations and recommend that you approve the proposed responses to the Findings and Recommendations and authorize the Chair to send the attached letter to the Marin County Civil Grand Jury.

Chair Phillips stated that he was very pleased with the report and status of the responses.

MOTION: Director Connolly moved to Approve the Chair to sign the Letter to the Marin County Civil Grand Jury regarding Findings, Recommendations and Response, dated June 5, 2019 as presented. Director Zane second. The motion carried 11-0.

Chair Phillips adjourned the Board to closed session at 2:00PMm on the following:

12. Closed Session - Conference with legal counsel regarding initiation of an action or to decide whether to initiate an action pursuant to California Government Code Section 54956.9(d)(4) Number of cases: 1
13. Report Out of Closed Session

Chair Phillips reported out of closed session at 3:03pm on the following:

Conference with legal counsel regarding initiation of an action or to decide whether to initiate an action pursuant to California Government Code Section 54956.9(d)(4) Number of cases: 1

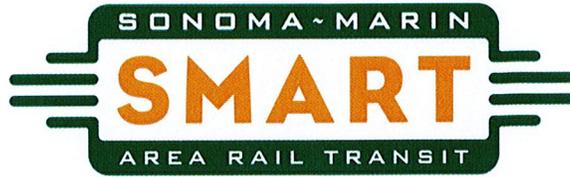
Report Out: The Board met in closed session and gave direction to staff.

14. Next Regular Meeting Board of Directors, June 19, 2019 – 1:30 PM – 5401 Old Redwood Highway, 1st Floor, Petaluma, CA 94954
15. Adjournment – Meeting adjourned at 3:05pm.

Respectfully submitted,

Leticia Rosas-Mendoza
Clerk of the Board

Approved on: _____



June 19, 2019

Gary Phillips, Chair
Transportation Authority of Marin

Barbara Pahre, Vice Chair
Golden Gate Bridge,
Highway/Transportation District

Judy Arnold
Marin County Board of Supervisors

Damon Connolly
Marin County Board of Supervisors

Debora Fudge
Sonoma County Mayors' and
Councilmembers Association

Dan Hillmer
Marin County Council of Mayors and
Councilmembers

Eric Lucan
Transportation Authority of Marin

Joe Naujokas
Sonoma County Mayors' and
Councilmembers Association

David Rabbitt
Sonoma County Board of Supervisors

Chris Rogers
Sonoma County Mayors' and
Councilmembers Association

Shirlee Zane
Sonoma County Board of Supervisors

Farhad Mansourian
General Manager

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Sonoma-Marín Area Rail Transit Board of Directors
5401 Old Redwood Highway, Suite 200
Petaluma, CA 94954

SUBJECT: Monthly Finance Reports

Dear Board Members:

RECOMMENDATION: Information Item

SUMMARY:

We are presenting the monthly financial report for activity through the month of May. The budgeted amounts shown in the report reflect the revised budget approved by your Board on June 5, 2019. All revenues and expenses are within revised budgeted amounts. Gross sales tax received through the month of May is \$31,615,483. Fare and parking revenues are \$3,811,404. Bond fund investments through May totaled \$22,214,437 while other cash and investments equaled \$42,596,033.

Very truly yours,

Erin McGrath
Chief Financial Officer

Attachment(s): 1) Monthly Financial Report
2) Contract Summary

Sonoma-Marín Area Rail Transit District
Monthly Finance Reports
Through May 2019

Budget Report

	FY 2018-19 Revised Budget	YTD	Remaining Budget
Revenues			
Administration			
Sales/Use Taxes	\$ 23,314,136	\$ 22,254,636	\$ 1,059,500
Interest Earnings	575,000	916,887	-
Rent - Real Estate	302,000	319,062	-
Miscellaneous Revenue	3,587,299	3,671,156	-
Subtotal	27,778,435	27,161,742	1,059,500
Operations			
Fund Balance	579,714	579,714	-
Sales/Use Taxes	14,808,196	9,360,847	5,447,349
Fare & Parking Revenue	3,990,000	3,811,404	178,596
State Grants	7,653,214	3,323,118	4,330,096
Other Charges	735,850	479,866	255,984
Subtotal	27,766,974	17,554,949	10,212,025
Capital			
Fund Balance	13,648,185	13,648,185	-
Federal Funds	23,761,546	13,656,205	10,105,341
State Grants	9,394,036	-	9,394,036
Other Governments/ Misc.	8,412,251	5,973,216	2,439,035
Measure M	27,375	-	27,375
MTC - RM2	4,612,881	3,042,666	1,570,215
Subtotal	\$ 59,856,274	\$ 36,320,271	\$ 23,536,003
Revenue Total	\$ 115,401,683	\$ 81,036,962	\$ 34,807,528
Expenditures			
Administration			
Salaries & Benefits	\$ 4,763,557	\$ 3,789,738	\$ 973,819
Services & Supplies	6,576,513	2,583,910	3,992,603
Machinery & Equipment	138,633	119,599	19,034
Debt Service/ Other Charges	16,299,733	16,299,733	-
Subtotal	27,778,435	22,792,980	4,985,456
Operations			
Salaries & Benefits	14,863,052	11,769,955	3,093,097
Services & Supplies	9,252,542	5,525,286	3,727,257
Buildings & Capital Improvements	3,651,379	1,811,011	1,840,368
Subtotal	27,766,974	19,106,252	8,660,722
Capital			
Salaries & Benefits	1,413,881	1,095,639	318,242
Services & Supplies	1,057,779	663,859	393,921
Other Charges	649,375	496,451	152,924
Land	11,000	11,000	-
Machinery & Equipment	15,947,692	5,631,902	10,315,790
Infrastructure	40,776,547	27,316,025	13,460,522
Subtotal	\$ 59,856,274	\$ 35,214,875	\$ 24,641,398
Expenditure Total	\$ 115,401,683	\$ 77,114,107	\$ 38,287,576

Sonoma-Marin Area Rail Transit District
Monthly Finance Reports
Through May 2019

Investment Report

	Amount
Bond Reserve Fund	
Sonoma County Treasury Pool	\$ 17,072,500
Interest Fund	
Sonoma County Treasury Pool	1,846,058
Project Fund	
Sonoma County Treasury Pool	878,695
Principal Fund	
Sonoma County Treasury Pool	2,417,184
SMART Operating Accounts	
Bank of Marin	11,743,517
Sonoma County Treasury Pool	30,852,515
Total	\$ 64,810,470

Capital Project Report

	Budget	Actual	Remaining
Additional Railcar Purchase			
Revenues	\$ 11,000,000	\$ 550,000	\$ 10,450,000
Expenditures	\$ 11,000,000	\$ 1,650,000	\$ 9,350,000
San Rafael to Larkspur Extension			
Revenues	\$ 55,435,059	\$ 40,717,626	\$ 14,717,433
Expenditures	\$ 55,435,059	\$ 37,812,937	\$ 17,622,122
Windsor Extension			
Revenues	\$ 55,000,000	\$ -	\$ 55,000,000
Expenditures	\$ 55,000,000	\$ 879,895	\$ 54,120,105



Contract Summary

Active Contracts as of May 31, 2019

Contractor	Scope	Fiscal Year 18/19 Projected	Fiscal Year 18/19 Actuals-To-Date
A.J. Janitorial Service	SMART Station Janitorial and Related Services	\$73,200.00	\$67,100.00
A.J. Janitorial Service	Janitorial Services for Operations Facilities	\$16,560.00	\$15,180.00
Adobe Associates Inc.	Real Estate Property Support Services	\$1,477.00	\$1,476.00
Ahlborn Fence & Steel, Inc.	Novato and San Rafael Fencing Projects	\$49,800.00	\$49,800.00
Air Technology West	Maintenance and On-Call Repair for Air Compressors	\$5,000.00	\$3,247.00
Alcohol & Drug Testing Services, LLC	DOT Drug and Alcohol Testing	\$25,000.00	\$16,704.00
Alliant Insurance Services	Insurance Brokerage & Risk Management Services	\$83,256.00	\$8,256.00
Alstrom Transportation	DMU Collision-Damaged Repair Work and Overhauls	\$300,000.00	\$97,457.00
American Rail Engineer Corporation	Railroad Bridge Engineering, Inspection, & Design	\$80,000.00	\$27,338.00
Aramark Uniform Services	Employee Uniform Provider and Cleaning Service	\$32,000.00	\$29,673.00
Asbury Environmental Services (AES)	Recycling & Disposal Service for Used Oil, Fuel Filters, Rags, and Related Equipment	\$8,156.00	\$3,366.00
Beck Communications	IT Infrastructure Relocation	\$14,299.00	\$14,299.00
Becoming Independent	Emergency Bus Bridge Services	\$50,000.00	\$21,461.00
Bettin Investigations	Background Investigations	\$10,000.00	\$5,160.00
Big Cat Advertising	Digital Advertisement Services	\$18,000.00	\$16,500.00
Bradford D. Andersen dba Andersen Window Tinting	Installation of High Heat Rejecting Tint on DMU Windows	\$11,700.00	\$7,800.00
Burke, Williams & Sorensen, LLP	Litigation Support Services	\$225,000.00	\$183,255.00
California Northern Railroad Company	DMU Temporary Haulage Agreement	\$20,000.00	\$20,000.00
Case Systems, Inc.	Provision, Installation, and Configuration of Verizon 4G for SMART Call Boxes	\$11,966.00	\$0.00
Certified Employment Group	Temporary Staffing Services	\$67,920.00	\$58,712.00
Corey, Canapary & Galanis	NTD Compliant Passenger Counting Services	\$33,603.00	\$16,802.00
DeAngelo Brothers, LLC (DBI Services)	Vegetation Control Services	\$37,651.00	\$37,651.00
DeAngelo Brothers, LLC (DBI Services)	Vegetation Abatement, Debris Removal, and Related Services	\$55,000.00	\$27,779.00
Delta Wheel Truing Solutions	Purchase and Installation of Wheel Truing Machine at ROC	\$898,433.00	\$667,500.00
Doug Williams	Fire and Life Safety Consultant	\$3,000.00	\$735.00
Dr. Lance O'Connor	Occupational Health Screening Services	\$5,000.00	\$1,270.00
Dr. Mark Clementi	Pre-Employment Psychological Evaluations	\$25,000.00	\$16,740.00
eLock Technologies, LLC	Station Bike Lockers and Maintenance Services	\$11,280.00	\$4,896.00
Empire Cleaners	Uniform Dry-Cleaning, Laundering, and Related Services	\$35,000.00	\$28,792.00
Emtec Consulting Services, LLC	Oracle Accounting System Support Services	\$65,763.00	\$46,323.00
ePath Learning, Inc.	Cloud-Based Training / Learning Management Software	\$6,180.00	\$6,180.00
ePath Learning, Inc.	Business Training Library	\$1,094.00	\$0.00
Everbridge, Inc.	Nixle Computer Software (Cloud-based)	\$16,000.00	\$11,800.00
George Hills Company, Inc.	Third Party Claims Administration Services	\$50,000.00	\$2,616.00
GHD, Inc.	SWPP Compliance, AutoCAD Management, Traffic and Hydraulic Analysis	\$15,863.00	\$9,863.00
Ghilotti Bros, Inc.	Procure and Install Trench Drain at Airport Station	\$22,069.00	\$22,069.00
Ghilotti Bros, Inc.	ROC Electrical and Concrete Modifications for Wheel Truing Machine	\$192,939.00	\$81,500.00
Golden Gate Bridge, Highway and Transportation District	Customer Service Support Services	\$276,261.00	\$206,470.00
Golden Gate Bridge, Highway and Transportation District	Weekend Bus Service between San Rafael Transit Center and Larkspur Ferry	\$44,638.00	\$32,199.00
GP Crane & Hoist Services	Cal/OSHA Crane Inspection Services	\$8,341.00	\$2,709.00
Granicus, Inc.	Media Streaming & Internet Broadcasting Services	\$13,799.00	\$11,740.00
Hanford A.R.C.	Implementation and Monitoring Las Gallinas Creek Riparian Enhancement Plan	\$66,170.00	\$58,169.00
Heavy Equipment Transportation, Inc.	Transportation Services for Heavy Machinery and Equipment	\$3,530.00	\$2,030.00
Holland Company	Track Geometry and Measurement Services	\$24,000.00	\$24,000.00
Hulcher Services, Inc.	Derailment & Hazmat Services	\$40,000.00	\$0.00
Industrial Railway Company	Replace Existing #9-136# Rail Frog at MP 39.7	\$19,640.00	\$19,640.00

Contractor	Scope	Fiscal Year 18/19 Projected	Fiscal Year 18/19 Actuals-To-Date
Industrial Railways Company	Provision of Ballast Car, Operator to Load Ballast Car	\$89,621.00	\$88,858.00
Innovative Business Solutions	Payroll Processing Services	\$26,872	\$19,945
Inteltrace, Inc.	Internet/Cellular Tower Maintenance Services	\$39,414.00	\$30,291.00
Intelligent Technology Solutions, Inc.	Maximo MMS Implementation and Support Services	\$182,384.00	\$142,423.00
James Flageollet	Legal Services	\$84,503.00	\$73,125.00
Kermani Consulting Group	Services for Necessary Approvals for Emergency Repairs	\$20,000.00	\$10,573.00
Leete Generators	Generator Maintenance	\$4,000.00	\$0.00
Lescure Company, Inc.	Train Wash Re-Design	\$20,000.00	\$0.00
LM Disability Consulting	Disability Access Consulting	\$6,400.00	\$400.00
LTK Engineering Services	Vehicle and Systems Design and Construction Management Services	\$337,691.00	\$275,177.00
Managed Health Network	Employee Assistance Program (EAP) Services	\$4,800.00	\$3,312.00
Masabi LLC	SMART Mobile Ticketing Pilot Project	\$58,730.00	\$49,000.00
MaxAccel	Compliance Management Software Design/Implementation/Asset Management	\$12,867.00	\$11,200.00
Maze & Associates	Financial Audit Services	\$49,850.00	\$43,594.00
MGrodner, LLC	Project Management Services	\$100,000.00	\$24,417.00
Netwoven Inc.	SharePoint Maintenance, Support, Implementation, and Related Services	\$99,000.00	\$80,999.00
Netwoven Inc.	Design Information Architecture for Document and Project Management Technology	\$4,927.00	\$3,596.00
North Bay SAP Services	Substance Abuse Professional Services	\$3,800.00	\$0.00
Northwestern Pacific Railroad Company, Inc.	Ballast Car Moving Services	\$28,000.00	\$28,000.00
Nossaman LLP	Legal Services - Railroad Regulatory Issues & Transit Capital Projects	\$67,736.00	\$26,213.00
Occupational Health Centers of CA	Pre-Employment Evaluation Services	\$9,116.00	\$3,172.00
Occupational Health Centers of CA	Pre-Employment Evaluation Services	\$7,687.00	\$6,571.00
Ojo Technology, Inc.	Installation of Security Access Control Systems at ROC and SMART Headquarters	\$81,128.00	\$60,855.00
Pamco Machine Works, Inc.	Railroad Wheel Pressing Services	\$378,000.00	\$0.00
Parodi Investigative Solutions	Pre-Employment Background Investigation Services	\$20,000.00	\$15,500.00
Paychex	Payroll Processing Services	\$6,587	\$6,587
Pivotal Vision, LLC	Security Software Licensing	\$2,200.00	\$2,200.00
Platinum Advisors, LLC	State Legislative Advocacy Services	\$120,000.00	\$100,000.00
Portola Systems, Inc.	SMART Station Network Configuration Services	\$186,630.00	\$170,981.00
Precision Wireless	Tech Support and Maintenance for Land Mobile Radio	\$25,000.00	\$14,577.00
RailPros, Inc.	Professional Engineering Services for Larkspur Extension	\$1,356,159.00	\$1,026,119.00
Reyff Electric Company	FY19 On-Call Electrical Work	\$24,386.00	\$24,386.00
Santa Rosa Fire Equipment Service, Inc.	SMART Fire Equipment Maintenance	\$10,000.00	\$496.00
ServPro of Lake Mendocino and Sonoma County	Biohazard Clean-Up and Hazmat Services	\$22,004.00	\$8,288.00
Sonoma County Fleet Operation Division	Non-Revenue Fleet Maintenance Services	\$71,047.00	\$69,083.00
Sonoma County Probation Department	Right-of-Way Vegetation Control, Brush and Debris Removal, and Homeless Camp Clean-up	\$50,000.00	\$18,154.00
Sperry Rail Service	Rail Flaw Detection Services	\$13,125.00	\$11,454.00
SPTJ Consulting	Network Infrastructure, Security, Migration and Setup Services	\$396,630.00	\$174,488.00
Stacy and Witbeck	Railroad Track Maintenance, Alignment, and Restoration	\$196,108.00	\$196,108.00
Stacy and Witbeck/Herzog, JV	Design/ Build Construction of Civil, Track & Structures Improvements of IOS-1	\$250,000.00	\$0.00
Stacy and Witbeck/Herzog, JV	Design/Build Construction of Civil, Track & Structures Improvements of Larkspur Extension	\$35,799,878.00	\$27,639,101.00
Stantec Consulting Services, Inc.	Environmental Permit Management and Construction Compliance Monitoring	\$294,082.00	\$140,559.00
Stantec Consulting Services, Inc.	Environmental Review for Legal	\$22,074.00	\$5,593.00
Stripe N Seal	Safety Stencils at Crossings	\$37,941.00	\$37,941.00
Sue Evans	Real Estate Support Services	\$67,000.00	\$59,713.00
Sumitomo Corporation	Manufacture & Delivery of Rail Vehicles	\$15,234,635.00	\$4,824,639.00

Contractor	Scope	Fiscal Year 18/19 Projected	Fiscal Year 18/19 Actuals-To-Date
Summit Signal, Inc.	Emergency Call-Out Services for Track and Signals	\$6,900.00	\$3,643.00
Survival CPR & First Aid, LLC	First Aid and CPR Training, AED Compliance Program	\$10,000.00	\$8,765.00
Swiftly, Inc.	AVL Mobile Application and Website Interface	\$8,925.00	\$8,400.00
The GBS Group	Internet Connectivity (Wi-Fi) for SMART Trains	\$513,611.00	\$487,036.00
United Mechanical Incorporated	HVAC Maintenance Services at SMART Facilities	\$19,320.00	\$11,817.00
Utah Transit Authority	DMU Wheel Truing Services	\$134,964.00	\$28,413.00
Van Scoyoc Associates	Federal Legislative Advocacy Services	\$63,125.00	\$52,000.00
VenTek Transit Inc.	Clipper Vending Machine Operations and Maintenance Services	\$233,956.00	\$185,680.00
Viewu	Cloud-Based Storage of Security Data	\$1,080.00	\$0.00
WBE	Relocation of AV Equipment	\$2,753.00	\$2,753.00
WBE	Emergency PA System Install Project at SMART Stations	\$44,582.00	\$44,582.00
WBE	Existing CCTV System On-Call Maintenance	\$45,000.00	\$11,617.00
West Coast Arborists, Inc.	Tree Trimming, Removal, and Related Services	\$30,000.00	\$29,590.00
Whiting Services, Inc.	Inspection and Maintenance of Jacks for DMU	\$19,513.00	\$19,512.00
William Campagna	Disability Access Consultant Services	\$3,750.00	\$1,750.00
WRA Environmental Consultants	Environmental Permitting, Management, & Support Services	\$354,805.00	\$269,022.00
	TOTAL:	\$60,487,884.00	\$38,678,525.00

* Actuals-To-Date includes invoices that have been approved as of May 31, 2019, but may not have been processed in SMART's Financial System.



June 19, 2019

Gary Phillips, Chair
Transportation Authority of Marin

Barbara Pahre, Vice Chair
Golden Gate Bridge,
Highway/Transportation District

Judy Arnold
Marin County Board of Supervisors

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Marin County Board of Supervisors

Debora Fudge
Sonoma County Mayors' and
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Marin County Council of Mayors and
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Sonoma-Marín Area Rail Transit Board of Directors
5401 Old Redwood Highway, Suite 200
Petaluma, CA 94954

SUBJECT: Award a Sole-Source Purchase Order to Knorr Brake Company, LLC for the Purchase of Specialized Braking Equipment used on the Diesel Multiple Units (DMUs) in the amount not-to-exceed \$80,000.

Dear Board Members:

RECOMMENDATION:

Staff recommends that the Board authorize the General Manager to execute a sole-source purchase order with Knorr Brake Company, LLC with a not to exceed amount of \$80,000 and approve the following findings:

- 1) There is only a single source of procurement for certain specialized rail car braking equipment that has been specifically designed for SMART's system.
- 2) The Board approves the direct purchase of the specialized equipment from Knorr Brake Company, LLC.

SUMMARY:

The Board-Approved Fiscal Year 2019-20 Budget includes the purchase of specialized braking equipment for SMART's trains, known as Diesel Multiple Units (DMUs). The braking components requested for purchase include two (2) overhaul kits for the pneumatic operating units. These overhaul kits are required every three years as part of the manufacturer's mandated maintenance schedule in order to be compliant with all warranties and are essential to maintaining the train's braking system. Knorr Brake Company, LLC is the sole manufacturer and only authorized distributor of these overhaul kits.

SMART's vehicle manufacturer, Nippon Sharyo, specifically designed the braking system to include Knorr brake units in order to meet SMART's specification requirements. All design work, testing approvals, and warranties are based on these Knorr Brake Company's brake system components. Each pneumatic operating unit is a single-manifold assembly that controls the friction brake application for their respective truck and DMU.

These units have pneumatic and electro-pneumatic valves that receive commands from the propulsion logic for service brake application, and are responsible for controlling the brakes.

This equipment purchase meets the state sole source criteria established in Public Contract Code §3400. The brake system originally installed by SMART's design-build contractor is comprised of components that are only compatible with those manufactured by Knorr Brake Company, LLC. Currently, they are the sole authorized distributor of their products. Alternative products from another manufacturer would require a major redesign of the current brake system, substantially more testing, and void the current manufacturer's warranty for the brake system.

Your Board may direct the purchase of any supplies, equipment, or material upon a finding that there is only a single source of procurement and that the purchase is for the sole purpose of matching other products in use on a particular system or equipment or for replacing supplies, equipment, or material already in use (Public Contract Code §3400).

Additionally, pursuant to Public Contracts Code §20355.7, the Board may direct the purchase of (1) electronic equipment, including, but not limited to, computers, telecommunications equipment, fare collection equipment, radio and microwave equipment, and other electronic equipment and apparatus used in rail transit operations; and (2) specialized rail transit equipment by competitive negotiation, upon a finding by two-thirds of all the members that the purchase is in compliance with certain provisions of the code generally applicable to the purchase of materials and equipment does not constitute a method of procurement adequate for the District's needs.

Staff recommends that the Board authorize the General Manager to execute a sole-source purchase order with Knorr Brake Company, LLC, with a not to exceed amount of \$80,000.

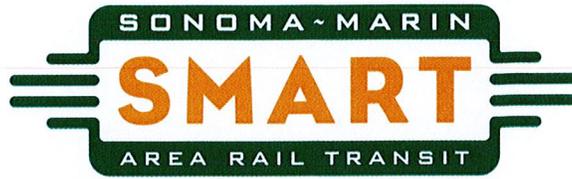
FISCAL IMPACT: Funding is included in the approved Fiscal Year 2019-20 budget.

REVIEWED BY: [] Finance _____ [x] Counsel 

Very truly yours,



Ken Hendricks
Procurement Coordinator



June 19, 2019

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Transportation Authority of Marin

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SUBJECT: Award a Sole-Source Purchase Order to Voith Turbo Inc., for the Purchase of a Car Coupler Assembly and Crash Energy Management equipment for SMART's Diesel Multiple Units (DMUs) in an amount not to exceed \$200,000.

Dear Board Members:

RECOMMENDATION:

The Board-Approved Fiscal Year 2020 Budget includes the purchase of the car coupler assembly and crash energy management equipment essential for SMART's DMUs. These specialized parts protect the DMUs in the event of an impact. Staff recommends that the Board authorize the General Manager to execute a sole-source purchase order with Voith Turbo Inc. in an amount not to exceed \$200,000 and approve the following findings:

There is only a single source of procurement for certain crash energy management equipment that has been specifically designed for SMART's DMUs.

The Board approves the direct purchase of the specialized equipment from Voith Turbo Inc.

SUMMARY:

The Crash Energy Management (CEM) and coupler systems manufactured by Voith Turbo, Inc. were specifically chosen by the vehicle manufacturer Nippon Sharyo in order to meet SMART's specification requirements. All design work and testing activities were based on these Voith Turbo products. The Coupler Assembly and Crash Energy Management System (CEM) is the last energy absorption element on the DMU activated when the coupler is absorbing a compressive load. In the case of a heavy impact, this system protects the vehicle from damage. Voith Turbo, Inc. integrates their Crash Energy Management System into their coupler design, both of which are designed to maintain the structural integrity of the DMUs. Changing the coupler or Crash Energy Management System supplier would require a complete redesign and new acceptance testing, which would add significant cost and time and is not in the best interest of the District.

SMART does not currently have spare Crash Energy Management systems on hand. In the event of a major collision that activates this system, SMART would not be able to replace the part immediately due to a 17-month manufacturing lead time.

This equipment purchase meets the state sole source criteria established in Public Contract Code §3400. The Car Coupler Assembly and the Crash Energy Management equipment were specifically designed by the vehicle manufacturer for SMART's DMUs and are comprised of components only compatible with those manufactured by Voith Turbo Inc. Currently, they are the sole authorized distributor of their products.

Your Board may direct the purchase of any supplies, equipment, or material upon a finding that there is only a single source of procurement and that the purchase is for the sole purpose of matching other products in use on a particular system or equipment or for replacing supplies, equipment, or material already in use (Public Contract Code §3400).

Additionally, pursuant to Public Contracts Code §20355.7, the Board may direct the purchase of (1) electronic equipment, including, but not limited to, computers, telecommunications equipment, fare collection equipment, radio and microwave equipment, and other electronic equipment and apparatus used in rail transit operations; and (2) specialized rail transit equipment by competitive negotiation, upon a finding by two-thirds of all the members that the purchase is in compliance with certain provisions of the code generally applicable to the purchase of materials and equipment does not constitute a method of procurement adequate for the District's needs.

Staff recommends that the Board authorize the General Manager to execute a sole-source purchase order with Voith Turbo Inc., with a not to exceed amount of \$200,000 for purchase of the Car Coupler Assembly and Crash Energy Management System.

FISCAL IMPACT: Funding is included in the approved Fiscal Year 2019-20 budget.

REVIEWED BY: [] Finance _____ [x] Counsel 

Very truly yours,



Ken Hendricks
Procurement Coordinator